

11324 U.S. PTO  
012204

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR § 1.53(b))</small>	Attorney Docket No.	PC883.00/4906-017
	First Inventor or Application Identifier	Roy Lim
	Title	Expanding Int rbody Implant and Articulating Ins rt r and Method
	Express Mail Label No.	EV 368751672 US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Specification [Total Pages 32 ]  
*(preferred arrangement set forth below)*
  - Descriptive title to the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 14 ]
4.  Oath or Declaration [Total Pages 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
[Note Box 5 below]
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
8. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))
9. <input type="checkbox"/>	37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)
10. <input type="checkbox"/>	English Translation Document (if applicable)
11. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/>	Preliminary Amendment
13. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. <input type="checkbox"/>	*Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. <input checked="" type="checkbox"/>	Other: Express Mail Certification

**\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No: _____ / _____
Prior application information:		Examiner:	Group/Art Unit: _____

**18. CORRESPONDENCE ADDRESS**

Customer Number **24112** or  Correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			
Name (Print/Type)	David D. Kalish	Registration No. (Attorney/Agent)	42,706		
Signature	<i>DDK</i>	Date	January 22, 2004		

22264 U.S. PTO  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
**Roy Lim** )  
)  
Serial No.: )  
)  
Filed: January 22, 2004 )  
)  
Title: **EXPANDING INTERBODY IMPLANT AND** )  
**ARTICULATING INSERTER AND METHOD** )  
)  
Attorney's Docket No: PC883.00/4906-017 )

**EXPRESS MAIL CERTIFICATION  
LABEL NO.: EV 368751672 US**

Raleigh, North Carolina  
January 22, 2004

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Sir:

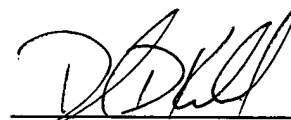
**EXPRESS MAIL CERTIFICATE LABEL NO.: EV 368751672 US**  
**DATE MAILED: January 22, 2004**

I hereby certify that the enclosed US Utility Patent Application Transmittal Form, Fee Transmittal Form (2 copies), specification and claims, drawings (14 sheets), Declaration and Power of Attorney, Assignment and Recordation Sheet, and our check number 11053 in the amount of \$2236 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Respectfully submitted,

**COATS & BENNETT, P.L.L.C.**

By:



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